

1 **Validation and clinical evaluation of a novel method**
2 **to measure miltefosine in leishmaniasis patients**
3 **using dried blood spot sample collection**

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5 A.E. Kip^{1,2*}, H. Rosing¹, M.J.X. Hillebrand¹, S. Blesson³, B. Mengesha⁴, E. Diro⁵, A. Hailu⁶,
6 J.H.M. Schellens^{2,7}, J.H. Beijnen^{1,2,7}, T.P.C. Dorlo^{2,8**}

7
8 1. Department of Pharmacy & Pharmacology, Antoni van Leeuwenhoek Hospital/Slotervaart
9 Hospital, Amsterdam, the Netherlands

10 2. Division of Pharmacoepidemiology & Clinical Pharmacology, Utrecht Institute for
11 Pharmaceutical Sciences (UIPS), Faculty of Science, Utrecht University, Utrecht, the Netherlands

12 3. Drugs for Neglected Diseases initiative, Geneva, Switzerland

13 4. Leishmaniasis Research and Treatment Center, University of Gondar, Gondar, Ethiopia

14 5. Department of Internal Medicine, University of Gondar, Gondar, Ethiopia

15 6. Department of Microbiology, Immunology and Parasitology, School of Medicine, Addis Ababa
16 University, Addis Ababa, Ethiopia

17 7. Department of Clinical Pharmacology, Antoni van Leeuwenhoek Hospital/the Netherlands cancer
18 institute, Amsterdam, the Netherlands

19 8. Pharmacometrics Research Group, Department of Pharmaceutical Biosciences, Uppsala
20 University, Uppsala, Sweden

21

22 * Corresponding author: Anke Kip MSc, Department of Pharmacy & Pharmacology, Antoni van
23 Leeuwenhoek hospital, P.O. Box 90440, 1006 BK, Amsterdam, the Netherlands. Telephone: +31 20
24 5124073, e-mail: anke.kip@slz.nl

25 ** Alternate corresponding author: Thomas Dorlo PhD, Division of Pharmacoepidemiology &
26 Clinical Pharmacology, Utrecht University, P.O. Box 80082, 3508 TB, Utrecht, The Netherlands. E-
27 mail: t.p.c.dorlo@uu.nl

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29 **Running title:** Quantification of miltefosine in dried blood spots

30 **Word count – abstract / text: 250/ 5190**

31

32 **ABSTRACT**

33 To facilitate future pharmacokinetic studies of combination treatments against leishmaniasis in remote
34 endemic regions, a simple and cheap sampling methodology was required for miltefosine
35 quantification. The aim of this study was to validate a liquid chromatography-tandem mass
36 spectrometry method to quantify miltefosine in dried blood spots (DBS) and to validate its use in
37 Ethiopian visceral leishmaniasis (VL) patients. Since hematocrit (Ht) values are typically severely
38 decreased in VL patients, regressing to normal during treatment, the method was evaluated over a
39 range of clinically relevant Ht values.

40 Miltefosine was extracted from DBS using a simple pre-treatment method with methanol,
41 resulting in >97% recovery. The method was validated over a calibration range of 10-2,000 ng/mL and
42 accuracy and precision were within $\pm 11.2\%$ and $\leq 7.0\%$ ($\leq 19.1\%$ at LLOQ), respectively. The method
43 was accurate and precise for blood spot volumes between 10–30 μL and for an Ht of 20–35%, though
44 a linear effect of Ht on miltefosine quantification was observed in the bioanalytical validation. DBS
45 samples were stable for at least 162 days at 37°C.

46 Clinical validation of the method using paired DBS and plasma samples from 16 VL patients
47 showed a median observed DBS:plasma miltefosine concentration ratio of 0.99, with good correlation
48 (Pearson's $r=0.946$). Correcting for patient-specific Ht did not further improve the concordance
49 between the sampling methods.

50 This successfully validated method to quantify miltefosine in DBS was demonstrated to be a
51 valid and practical alternative to venous blood sampling which can be applied in future miltefosine
52 pharmacokinetic studies in leishmaniasis patients, without Ht-correction.

53

54

55 **INTRODUCTION**

56 Miltefosine is currently the only oral drug against both cutaneous (CL) and visceral leishmaniasis
57 (VL) and new studies to evaluate the use of miltefosine-based combination therapies in VL and HIV
58 co-infected VL patients are underway (1). Recently it was discovered that miltefosine treatment failure
59 is associated with lower drug exposure: the time that miltefosine plasma concentrations were above
60 10x the 50% effective concentration (17.9 µg/mL) was correlated with final treatment failure or
61 success (2). This stresses the need for adequate pharmacokinetic (PK) monitoring in these clinical
62 trials.

63 Both CL and VL are poverty-related diseases which mainly affect populations in resource-
64 poor and remote regions of Africa, Asia and South America. Classically, human blood plasma is
65 collected by venous sampling for the measurement of drug concentrations, e.g. employing liquid
66 chromatography-tandem mass spectrometry (LC-MS/MS). A bioanalytical method to quantify
67 miltefosine in plasma has been validated and reported previously (3). However, technologies such as
68 LC-MS/MS are not available in the regions where VL is endemic and samples therefore need to be
69 transported to appropriate facilities for analysis. The required cold storage (3) and transport of these
70 plasma samples is logistically highly challenging and, on top of that, expensive. In addition, plasma
71 sampling by venipuncture is an invasive and risky sampling method, particularly for severely
72 weakened and anaemic HIV co-infected VL patients. A large proportion of VL patients in East Africa
73 is pediatric (4), which limits both the total volume and number of plasma PK samples that can be
74 taken by venous blood sampling. Dried blood spot (DBS) sampling is therefore an attractive
75 alternative to plasma sampling in these settings because it is minimally-invasive and requires only a
76 small volume of blood (5–9), which is particularly advantageous in pediatric studies (10, 11).
77 Additionally, storage and shipment is possible at room temperature and is therefore simple, low cost
78 and hence is preferred in remote areas without proper laboratory setup.

79 A major hurdle in the application of DBS sample collection is, however, the effect of
80 hematocrit (Ht) and blood spot volume on the measured drug concentrations (12–14). Ethiopian VL
81 patients had a decreased median Ht of 25% (range Ht 23-30%) at initiation of treatment (15), which
82 slowly regressed towards Ht 33% (range Ht 27-37%) after a 30 day treatment with sodium antimony

83 gluconate (15). HIV co-infected VL patients show similar Ht values during active VL infection (mean
84 haemoglobin concentration of 9 g/dl, corresponding to an Ht value of approximately 27% (16)). Since
85 miltefosine has a long terminal half-life (30.9 days) (17) and accumulates during treatment,
86 pharmacokinetic sampling is typically performed at various time points during treatment and up to
87 several months after end of treatment. Ht values show high within-subject variability within this
88 period and may influence the outcome of drug measurements when using DBS sample collection.

89 Additionally, blood spot volume can vary widely between patients due to the variation in
90 blood flow and the penetration of the lancet in the finger. Viscosity of the blood increases with the
91 increase of Ht (18) and therefore the blood flow and possibly blood spot volume can be expected to be
92 larger for patients with a lower Ht.

93 Here we describe the development and validation of a rapid LC-MS/MS method to quantify
94 miltefosine levels in DBS in a range from 10-2,000 ng/mL, according to the current Food and Drug
95 Administration (FDA) and European Medicines Agency (EMA) guidelines (19, 20) and European
96 Bioanalysis Forum (EBF) recommendations (21, 22) for DBS assays. Furthermore, this study
97 evaluates and validates the clinical applicability of this method by comparing paired DBS and plasma
98 samples from 16 Ethiopian HIV co-infected VL patients, who received miltefosine treatment.

99

100 **METHODS**

101 **1. Chemicals and reagents**

102 Miltefosine was purchased from Sigma-Aldrich (Zwijndrecht, the Netherlands). Deuterated
103 miltefosine (miltefosine-D4, see Figure 1), was purchased from Alsachim (Illkirch Graffenstaden,
104 France). Methanol and water were obtained from Biosolve Ltd. (Valkenswaard, the Netherlands).
105 Ammonia 25% was purchased from Merck (Amsterdam, the Netherlands).

106

107 **2. Materials**

108 For the collection of DBS, pure cellulose-based cards (Whatman® 903 protein saver cards) were used.
109 These cards, together with foil bags and desiccant packages for storage of DBS were purchased from
110 GE Healthcare Europe GmbH (Diegem, Belgium). A Harris 3.0 mm micro-punch® was used for

111 punching the DBS. Whole blood (WB) was collected in K₂EDTA BD Vacutainers® from healthy
112 volunteers and stored at 2-8°C for a maximum of 2 days. WB was adjusted to an Ht level of 30±1%
113 (Ht30 WB) to mimic the Ht of VL patients, by dilution with plasma. Ht levels were determined with
114 the Cell Dyn Hematology analyser (Abbot Diagnostics, Lake Forest, IL, USA).

115

116 3. Preparation of calibration standards and QC samples

117 Stock solutions of 1 mg/mL miltefosine were prepared from independent weightings in methanol-
118 water (1:1, v/v). Separate stocks were diluted to working solutions with methanol-water (1:1, v/v) for
119 the preparation of calibration standards and quality control (QC) samples. A stock solution of 1
120 mg/mL deuterated miltefosine (miltefosine-D4) was prepared and diluted to internal standard working
121 solution of 4,000 ng/mL miltefosine-D4 in methanol-water (1:1, v/v). This working solution was
122 further diluted with methanol to a 20 ng/mL miltefosine-D4 extraction solution. Stock and working
123 solutions were stored at nominally -20°C.

124 Calibration standards were diluted 1:20 (v/v) in Ht30 WB to final concentrations of 10, 20,
125 100, 500, 1,000, 1,400, 1,800 and 2,000 ng/mL. QC samples were diluted 1:20 (v/v) in Ht30 WB to
126 final concentrations of nominally 10, 24, 300 and 1,600 ng/mL (lower limit of quantification, LLOQ;
127 low level, QCL; mid level, QCM; high level, QCH, respectively). Additionally an >ULOQ (above
128 upper limit of quantification) sample of 40,000 ng/mL was prepared and used to determine dilution
129 integrity.

130 A volume of 20 µL of spiked whole blood was spotted on Whatman 903 cards and air dried at
131 least for 3 hours at room temperature. When comparing samples that were dried for 3 hours to samples
132 that were dried overnight (15-20 hours), no effect was found for the additional drying time (bias
133 within ±6.1%).

134

135 4. Sample pre-treatment

136 After drying, a 3.0 mm punch was taken from the center of the DBS and transferred to a 1.5 mL
137 Eppendorf tube. To prevent spot-to-spot puncher carry-over, an unspotted filter punch was taken after
138 each sample punch. 150 µL of extraction solution (20 ng/mL miltefosine-D4 in methanol) was added

139 to the sample with the exception of double blanks to which 150 μ L of methanol was added. The tubes
140 were mixed for 10 seconds, sonicated for 30 minutes and mixed for another 30 seconds. Subsequently
141 the final extract was transferred to an autosampler vial and 10 μ L was injected onto the HPLC column.
142 No additional recovery of miltefosine from the blood spot was found when using longer sonication
143 times.

144

145 **5. Liquid chromatography-tandem mass spectrometry**

146 Chromatographic separation was performed as described for the miltefosine plasma method previously
147 validated (3), using a Gemini C18 pre-column (4.0 mm x 2.0 mm I.D.; Phenomenex, Torrance, CA,
148 USA) and an analytical column (Gemini C18, 150 mm x 2.0 mm I.D., 5 μ m particle size;
149 Phenomenex), with an isocratic eluent of 10 mM ammonia in 95% methanol (v/v) at 0.3 mL/min. The
150 HPLC system (Agilent 1100 series, Palo Alto, CA, USA) consisted of a binary pump, in-line degasser,
151 autosampler (at 4°C) and column oven (at 25°C). The miltefosine concentrations were analyzed on an
152 API-3000 triple-quadrupole mass spectrometer equipped with a turbo-ionspray source (Sciex,
153 Framingham, MA, USA), operating in positive ion mode. Table 1 summarises the MS operating
154 parameters.

155

156 **6. Validation of assay for the quantification of miltefosine in DBS**

157 The validation of the assay was performed according to the most current EMA and FDA guidelines for
158 the validation of bioanalytical assays (19, 20) regarding the following aspects: calibration model,
159 accuracy and precision, LLOQ, selectivity (endogenous interferences and cross analyte interferences),
160 carry over (instrumentation and spot-to-spot carry-over), dilution integrity, matrix effect and recovery.
161 Additional experiments were performed for the application of dried blood spots as a matrix according
162 to EBF recommendations (21, 22): blood spot volume, blood spot homogeneity and different WB Ht
163 values were tested for their effect on accuracy and precision at two concentration levels (QCL and
164 QCH). Stability was tested up to 162 days at four nominal temperatures: -70°C, -20°C, room
165 temperature (20-25°C) and 37°C.

166

167 **7. Clinical application**

168 As part of a larger randomized clinical trial (NCT02011958) investigating the treatment of Ethiopian
169 HIV co-infected VL patients with high dose liposomal amphotericin B alone (40 mg/kg total dose
170 given over 24 days) or liposomal amphotericin B (30 mg/kg total dose given over 11 days) in
171 combination with a 28-day miltefosine regimen (2.5 mg/kg daily), paired plasma and DBS samples
172 were collected from 16 patients. Ethical approval was obtained from the Ethiopian National Research
173 Ethics Review Committee, the Institutional Review Board of University of Gondar in Ethiopia, and
174 ethics committees from Médecins Sans Frontières, London School of Hygiene & Tropical Medicine
175 and the Institute of Tropical Medicine Antwerps. Regulatory approval was obtained from the Food,
176 Medicine and Health Administration and Control Authority in Ethiopia. All patients provided written
177 informed consent before entering the study. DBS and plasma samples were collected simultaneously at
178 day 29 of miltefosine treatment, one day after the last miltefosine dose, when patients are considered
179 to have reached steady-state/maximal levels.

180 Plasma samples were collected using K₂EDTA BD Vacutainers® and after centrifugation,
181 plasma was isolated and stored and transported at -20°C until analysis. DBS samples were collected
182 from a finger-prick using a lancet (GST corporation, New Delhi, India). A drop of blood was applied
183 on a Whatman® 903 protein saver card without touching of the filter paper with the finger tip. DBS
184 samples were allowed to air-dry for at least 3 hours before being stored in an air- and watertight
185 ziplock bag containing at least three desiccant packages. DBS samples were stored and transported by
186 courier at room temperature. Ht levels of the patients were determined on a Beckman Coulter AcT
187 Diff Hematology Analyzer (Beckman Coulter, Fullerton, CA, USA).

188 Observed DBS and plasma concentrations were compared using weighted Deming regression
189 and a Bland-Altman difference plot was used to depict the agreement between both methods. All
190 statistical analyses were performed with R (version 3.1.2). The acceptance criteria for the agreement
191 between the observed and derived plasma concentration were based on the guideline for incurred
192 sample reanalysis of the EMA: the difference between the observed and derived miltefosine plasma
193 concentration should be within $\pm 20\%$ for at least 67% of the samples (19).

194

195 **RESULTS**

196 **1. Calibration model**

197 Calibration standards at eight concentration levels in the range from 10-2,000 ng/mL were prepared
198 and analyzed in duplicate on three separate days at the beginning and end of the analytical run. To
199 obtain the lowest total bias across the range, the linear regression of the analyte/internal standard peak
200 area ratio (AR) versus the concentration of miltefosine (x) was weighted, $1/x^2$. The calibration curve
201 was accepted if 75% of the non-zero calibration standards were within $\pm 15\%$ of their nominal
202 concentration ($\pm 20\%$ for the LLOQ). At least one calibration standard at the LLOQ and ULOQ should
203 be accepted. All three calibration curves met these criteria and had correlation coefficients (R^2) of
204 ≥ 0.9964 .

205

206 **2. Accuracy and precision**

207 The accuracy and precision of the method were determined by analyzing the LLOQ, QCL, QCM and
208 QCH in five-fold in three separate analytical runs. Intra-assay and inter-assay bias values were within
209 $\pm 15\%$ of the nominal concentrations for all QC samples. As displayed in Table 2, intra- and inter-
210 assay precision (expressed as coefficient of variation, CV) were $\leq 7.0\%$ for QCL, QCM and QCH and
211 $\leq 19.1\%$ for the LLOQ. Both the accuracy and precision of the method were therefore found to be
212 acceptable.

213

214 **3. Lower limit of quantitation**

215 The first blank and the five LLOQ quality control samples were used to determine the signal to noise
216 ratio in three analytical runs. The signal to noise ratio of miltefosine at LLOQ level was above 5 for all
217 three runs (9.6, 5.3 and 5.8, respectively). Figure 1 shows representative LC-MS/MS ion
218 chromatograms of miltefosine and the internal standard in a double blank sample and an LLOQ
219 sample.

220

221 **4. Specificity and selectivity**

222 Six different batches of human WB were collected from six healthy donors, adjusted to Ht30 WB and
223 both a double blank and an LLOQ sample were prepared from each batch. The samples were
224 processed and analyzed as described above. The six LLOQ samples were all within $\pm 20\%$ of their
225 nominal values. For the double blank samples, five out of six batches showed no interferences at the
226 retention time of miltefosine over 20% of the peak area of the LLOQ sample and none of the double
227 blanks showed a peak for miltefosine-D4 higher than 5% of the internal standard peak area. Selectivity
228 was therefore considered sufficient.

229 To test the cross-analyte interference, an ULOQ sample was prepared as described above, but
230 subsequently processed by adding methanol as the extraction solvent (excluding internal standard).
231 Additionally, the internal standard was spiked separately to a double blank sample at the nominal
232 concentration. No internal standard interferences were observed on the analyte signal and additionally
233 no interference from the analyte was measured for the specific mass transition of the internal standard.

234

235 **5. Dilution integrity**

236 The mean miltefosine concentration at the end of a 28-day treatment (150 mg/day) was found to be
237 around 30,000 ng/mL in Dutch CL patients (17). Therefore, an >ULOQ sample of 40,000 ng/mL was
238 used in the dilution integrity experiment. The >ULOQ sample was prepared as described previously
239 and the final extract was subsequently diluted 100 times with the final extract of a processed blank
240 DBS (extracted with extraction solvent containing the internal standard). The dilution steps were as
241 follows: first, 10 μL >ULOQ final extract was diluted with 90 μL of blank final extract and
242 subsequently 10 μL of this dilution was further diluted with another 90 μL of blank final extract.
243 The % deviation of the diluted >ULOQ samples were within $\pm 3.3\%$ of the nominal concentration and
244 the precision $\leq 2.0\%$ and therefore it was concluded that samples exceeding the ULOQ (up to 40,000
245 ng/mL) can be diluted as described, applying a dilution factor of 100.

246

247 **6. Carry-over**

248 Two types of carry-over are important to investigate in the validation of dried blood spots methods:
249 instrument carry-over and spot-to-spot carry-over caused by the punching device. These two sources
250 of carry-over were tested. Spot-to-spot carry-over samples were prepared by punching spots in
251 following sequence: an ULOQ sample, unspotted filter paper (to get rid of most of the carry-over), a
252 blank spot, unspotted filter paper, a blank spot. These two blank spots were processed as described
253 previously and injected after the ULOQ. The combined instrument and spot-to-spot carry-over of the
254 two samples was compared to the mean value of five LLOQ samples measured and was found to be
255 below 19.3% of the LLOQ.

256 However, in clinical practice miltefosine concentrations are often expected to exceed the
257 calibration range of 10-2,000 ng/mL. Samples with an expected concentration around the ULOQ or
258 >ULOQ should preferably be analyzed in one batch. After punching a 40,000 ng/mL >ULOQ sample,
259 carry-over is acceptable (<20% of LLOQ) at the fourth blank spot punched subsequently.

260

261 **7. Matrix factor and recovery**

262 The matrix factor (MF) and recovery were tested in six different batches of Ht30 WB, spiked at QCL
263 and QCH in singlicate. 10 μ L spots were prepared from these solutions, so-called “processed DBS
264 samples”. For the analysis, the entire spot was cut out and processed as described previously with 150
265 μ L extraction solvent. Additionally, “matrix absent” and “matrix present” samples were prepared, for
266 which two neat solutions were first prepared: MF-L (MF-low, 24.4 ng/mL miltefosine) and MF-H
267 (MF-high, 1630 ng/mL miltefosine) in extraction solvent (20 ng/mL miltefosine-D4 in methanol). The
268 “matrix absent” samples were prepared by diluting 10 μ L of these neat solutions with 140 μ L of
269 extraction solvent. The “matrix present” samples were prepared by cutting out the entire 10 μ L blank
270 spots of the six different Ht30 WB batches, after which 10 μ L of MF-L or MF-H solution and 140 μ L
271 extraction solvent was added.

272 MF was calculated for each batch by calculating the ratio of the miltefosine peak area in the
273 “matrix present” sample compared to the “matrix absent” sample. The MF at both tested
274 concentrations was around 0.3 as a result of matrix effects (ion suppression). The IS-normalized MF

275 was around 1.0 which indicated that the stable isotope-labeled internal standard is effectively
276 compensating for any matrix effects. At both tested QC levels, the CV of the absolute and IS-
277 normalised MF calculated from the six different Ht30 WB batches, were below 11.5%.

278 Given that the internal standard is added as extraction solution, it is not part of the sample pre-
279 treatment and therefore the IS-normalised values were used to determine recovery. The sample pre-
280 treatment recovery was calculated by comparing the area ratio (AR) of the “processed DBS sample”
281 with the AR of the “matrix present” samples. IS-normalised sample pre-treatment recovery was
282 around 100% (97.2% for QCL and 103% for QCH). At both tested QC levels the CV of the IS-
283 normalised recovery from the 6 batches was below 6.7%.

284 Both the matrix effect and recovery experiments were considered acceptable because the CV
285 calculated from the six different Ht30 WB batches was consistent and below 15%.

286

287 **8. Stability**

288 DBS QC samples were prepared at two concentration levels (QCL and QCH) as described previously
289 and air-dried at room temperature overnight. The subsequent day the samples were stored in sealed
290 aluminium bags with three desiccant packages at four temperatures: -70°C, -20°C, room temperature
291 (20-25°C) and 37°C. Stability was tested at day 34, 58, 107 and 162 and the measured concentration
292 was within $\pm 12.5\%$ of the nominal concentration and precision was $\leq 10.7\%$. Stability of miltefosine in
293 DBS was proven to be at least 5 months (162 days) at temperatures ranging from -70°C to 37°C, when
294 stored in sealed aluminium bags with three desiccant packages.

295

296 **9. Blood spot homogeneity**

297 Blood spot homogeneity was investigated in 20 μL Ht30 WB DBS at QCL and QCH level in triplicate.
298 3.0 mm punches were taken at the perimeter instead of the center of the spot. The bias was 21.8% for
299 the QCL and 18.0% for the QCH ($CV \leq 7.4\%$), which points out the importance of punching the
300 center of the spot.

301

302 **10. Effect of blood spot volume**

303 For all of the validation procedures described here, a standard fixed spot volume of 20 μ L was used.
304 QCL and QCH were spotted in blood spot volumes reflecting the procedure in clinical practice: 10, 15,
305 25 and 30 μ L. Samples were analyzed in triplicate. Accuracy and precision were all within \pm 13.4%,
306 indicating that variability in the blood spot volume between 10 to 30 μ L has no effect on the accuracy
307 and precision of the method [data not shown].

308

309 **11. Effect of hematocrit**

310 Human WB was adjusted to a range of Ht values that were expected in clinical practice in HIV co-
311 infected VL patients: 20%, 23%, 31% and 35%. For each Ht level, QCL and QCH samples were
312 spiked and analyzed in triplicate. The accuracy and precision of DBS samples within this Ht range
313 were all within \pm 14.1% and \leq 7.2% respectively and were therefore considered acceptable (within
314 \pm 15%) [data not shown]. However, a linear effect of Ht on the miltefosine quantification was
315 nevertheless visible in these experiments and therefore a wider range of Ht values was prepared to
316 investigate the relation between Ht and the bias in miltefosine quantification. Human WB was
317 adjusted to five different Ht levels (10, 21, 30, 40 and 51%), spiked at two concentration levels (QCL
318 and QCH) and spotted with a volume of 20 μ L. Samples were analyzed in triplicate.

319 Figure 3 depicts the bias caused by Ht (% on y-axis) in area ratio of quality control samples
320 prepared in WB with different Ht values relative to standard quality control samples prepared in WB
321 Ht30. The linear trend in the percent bias of the miltefosine concentrations with increasing Ht values
322 relative to Ht30 could be described by equation 1 ($R^2=0.9761$):

323

324 Equation 1:
$$Bias_{Ht} = (0.013 \times Ht - 0.359) \times 100\%$$

325

326 The same Ht range was spotted at 10, 30, 40 and 50 μ L and the linear regression had approximately
327 the same slope regardless of the blood spot volume [data not shown].

328

329 **12. Clinical evaluation: DBS vs plasma concentrations in patient samples**

330 A total of 16 paired DBS and plasma samples were available from miltefosine-treated Ethiopian HIV
331 co-infected VL patients. Samples originated from the last treatment day, during which miltefosine
332 plasma concentrations exceed the ULOQ. Miltefosine concentrations ranged from 8,420-29,300 and
333 6,920-29,300 ng/mL for DBS and plasma, respectively. The median of the ratio of the observed
334 miltefosine DBS to plasma concentration is 0.99 (range: 0.83-1.22). The correlation between paired
335 individual observed miltefosine plasma and DBS concentrations is depicted in Figure 4 using a
336 weighted Deming regression. The slope of the weighted regression line was 0.87 [95%CI: 0.70-1.04]
337 with an intercept of 2091 [95%CI: -1132-5313] (Pearson's $r = 0.946$). The line of true identity, with a
338 regression slope of 1, lies within the 95% CI of the Deming regression line (see Figure 4). This would
339 indicate an approximately equal distribution of miltefosine over blood plasma and erythrocytes.

340 Miltefosine (indicated as "MIL") plasma concentrations can thus be derived from the observed
341 DBS concentration using the derived Deming regression equation, as follows:

342

343 Equation 2:
$$[\text{MIL}]_{\text{plasma, derived}} = \frac{([\text{MIL}]_{\text{DBS}} - 2091)}{0.87}$$

344

345 All derived miltefosine plasma concentrations calculated from the observed DBS concentrations using
346 equation 2, were within $\pm 20\%$ of the observed plasma concentration as shown in the Bland-Altman
347 plot (Figure 5).

348 Large between-patient variability in baseline Ht values is expected in VL patients and Ht
349 typically increases over time during the treatment period when patients recover from their infection.

350 Given the effect of Ht on the miltefosine quantification in DBS established in the bioanalytical
351 validation, the appropriateness of Ht-correction of the clinical DBS concentrations was assessed using
352 the patients' paired DBS and plasma samples. Individual patient Ht values were available for all paired
353 samples, ranging between 23.4% and 44.0% with a median of 30.5%. We tested Ht-correction of the
354 observed DBS concentration for these clinical samples by using equation 1, describing the effect of Ht
355 on the miltefosine quantification in the bioanalytical validation, resulting in equation 3:

356

357 Equation 3:
$$[\text{MIL}]_{\text{DBS, corrected}} = \frac{[\text{MIL}]_{\text{DBS, observed}}}{0.641 + 0.013 \times \text{Ht}}$$

358

359 The correlation between the Ht-corrected DBS concentration and the corresponding observed plasma
360 concentration using a weighted linear Deming regression resulted in a slope of 0.83 [95%CI: 0.73-
361 0.94] with an intercept of 2051 [95%CI: 238-3863] (Pearson's $r = 0.951$; graph not shown). The 95%
362 CI of both the slope and intercept of the Ht-corrected and non-Ht-corrected regression lines were
363 overlapping, indicating that Ht-correction does not provide a significantly better fit. While all derived
364 plasma concentrations were within 20% of the observed plasma concentration without Ht-correction,
365 two out of the 16 paired samples were outside the $\pm 20\%$ bias relative to the observed plasma
366 concentration when first correcting the DBS concentration for the Bias_{HT} (see Figure 6). Furthermore,
367 there was no obvious or systematic trend visible in the bias of the derived plasma concentration (no
368 Ht-correction) versus the Ht level (Figure 7). Based on the clinical validation, correction of miltefosine
369 DBS concentrations for their Ht value appeared not to be appropriate.

370

371 **DISCUSSION**

372 The here described assay is the first assay to measure miltefosine concentrations in patients using the
373 less invasive DBS sample collection, to facilitate and support future clinical trials investigating new
374 anti-leishmanial treatment regimens encompassing the drug miltefosine. The assay was successfully
375 validated according to FDA/EMA guidelines and EBF recommendations. With this method,
376 miltefosine can be accurately and precisely quantified with an LLOQ of 10 ng/mL, and concentrations
377 as high as 40,000 ng/mL can be analyzed by a 100-fold dilution. Paired miltefosine DBS and plasma
378 samples were collected from 16 HIV co-infected VL patients in Ethiopia. This clinical evaluation
379 demonstrated a good correlation between observed plasma and DBS concentrations. Miltefosine
380 plasma concentrations derived from the observed DBS concentrations using a weighted Deming
381 regression were within 20% of the observed plasma concentration, over a wide range of concentrations.
382 We showed here that the observed miltefosine DBS concentrations are approximately equal to the
383 paired observed plasma concentrations. This indicates an equal distribution of miltefosine between

384 erythrocytes and plasma in blood of miltefosine-treated VL patients, an observation which has not
385 been shown previously, to the best of our knowledge. DBS samples were found to be stable for at least
386 162 days up to 37°C, using a simple storage procedure with desiccant packages, which enables storage
387 of the miltefosine PK DBS samples at room temperature in tropical regions.

388

389 *Influence of Ht on miltefosine DBS measurements*

390 The patients included in our study showed variable Ht-values as described previously (15), with a
391 median of 30.5% which is around the standardized Ht of 30% used for the preparation of calibration
392 standards and QCs in this assay. Despite a linear correlation between Ht and the miltefosine DBS
393 quantification bias observed during the laboratory bioanalytical validation, no such trend in bias due to
394 Ht was found in the clinical application with individual patients' Ht ranging from 23.4% to 44.0%.
395 Neither did Ht-correction significantly improve the calculation of the derived miltefosine plasma
396 concentration from the observed DBS concentration in patient samples. Additionally, four out of 16
397 patients exceeded the validated Ht range (35.2%, 37.2%, 38.4%, 44.0%), but also for these the
398 observed plasma concentrations were accurately described by the observed DBS concentration,
399 without the need for Ht correction.

400 These findings show that the observed Ht effect on miltefosine quantification in the
401 bioanalytical validation cannot be confirmed in the clinical validation. Several factors can be
402 hypothesized to have an effect on miltefosine quantification in clinical practice, which could
403 altogether potentially counteract the observed effect of Ht on miltefosine determination. The most
404 general explanation for the Ht effect on analyte quantification is that the Ht impacts the distribution of
405 the applied blood over the filter paper (12). Blood with a high Ht will spread less and therefore the
406 fixed-diameter sub-punch will contain a larger volume of blood than for blood with a lower Ht. It
407 could be debated that when spotting the bioanalytical validation samples with a pipette, more pressure
408 is applied than with a finger-prick spotting in which the drop merely falls onto the paper. This
409 difference in blood flow upon application of the blood spot on the filter paper might theoretically
410 reduce the total blood volume contained in the 3.0 mm punch from the dried blood spot.

411 It could also be hypothesized that the blood spot volume is larger for patients with a lower Ht
412 value, due to lower viscosity of the blood leading to a higher blood flow. However, when comparing
413 the blood spot diameter as an indication of blood spot volume (23) for the blood spots in this clinical
414 validation, no such trend was found between Ht and blood spot diameter for the patient samples
415 ($R^2=0.002$) [data not shown]. Therefore this is not likely to explain the absence of Ht-related bias in
416 the miltefosine quantification of clinical samples.

417 Additionally, the DBS samples used in the bioanalytical validation differ from the clinical
418 DBS samples in terms of matrix. While the clinical samples are derived from capillary blood obtained
419 by finger puncture, venous blood obtained by venipuncture was used for bioanalytical validation
420 purposes for practical reasons. It has previously been described that the analyte concentrations in these
421 two matrices could differ, mostly explained by the slower distribution equilibrium towards the
422 capillaries (24). However, miltefosine accumulates during treatment and reaches steady-state levels
423 during the last week of treatment in most patients. As the clinical DBS samples are collected one day
424 after the last dose of miltefosine, we do not expect the miltefosine concentration to differ between
425 these two matrices.

426 Finally, during the bioanalytical validation the effect of Ht is tested while other blood
427 constituents, such as plasma proteins and other blood cells, were kept constant. However, in clinical
428 samples these blood constituents may be variable and potentially also correlated with the Ht value,
429 affecting the miltefosine quantification. For instance, serum albumin levels are significantly lower
430 during active VL infection compared to healthy controls (25), as are Ht levels, and both anemia and
431 low albumin levels were found to be a risk factor for poor clinical outcome in VL (26). A low Ht and
432 low albumin levels are therefore expected to be correlated. Miltefosine is highly protein bound (96-
433 98%) and the majority of the protein-bound fraction (97%) is bound to albumin (27). This could imply
434 that reduced serum albumin levels theoretically lead to an increase in the unbound miltefosine fraction
435 in plasma and correspondingly to an increased distribution of miltefosine towards the erythrocytes (5).
436 The effect of blood protein changes on the quantification of miltefosine, concurrently with low Ht
437 levels, cannot be accounted for in the bioanalytical validation.

438 In conclusion, various clinical factors potentially affect the miltefosine quantification,
439 cancelling out the systematic bias caused by Ht and making individual Ht correction redundant in
440 clinical practice. Nonetheless, the absence of a bias due to Ht in the clinical samples makes the
441 application of DBS sample collection easier in the field without the explicit need for concurrent Ht
442 measurements and thus allows for DBS sample collection without expensive laboratory equipment.

443

444 *Applicability of miltefosine DBS sampling method*

445 For the clinical validation we only had a limited number of paired samples available. While there is no
446 strict consensus about the required number of paired samples for method comparisons, the evaluation
447 of forty samples has been proposed (28). However, the collection of additional paired samples in the
448 highly anemic HIV co-infected patients of this study was unfortunately not feasible due to practical
449 limitations and ethical constraints. Paired patient samples were available over a wide but relatively
450 high (>ULOQ) range of miltefosine plasma concentrations between 6,920-29,300 ng/mL. However, as
451 no trend could be observed concerning the effect of Ht on miltefosine quantification from DBS in
452 clinical practice over this wide concentration range, we do not expect that Ht-correction will be needed
453 for lower concentration ranges.

454 We have demonstrated that DBS sample collection is a valid alternative to plasma sampling
455 for the quantification of miltefosine, which has many practical advantages. DBS sampling is
456 minimally invasive and only requires a minute volume of blood. This is particularly beneficial for the
457 method's application in a pediatric population, a large proportion of VL patients is below 12 years old,
458 but also e.g. in highly anemic HIV co-infected VL patients. Additionally, the DBS collection
459 constitutes a low biohazard risk, by reducing the risk of needle stick incidents when sampling HIV co-
460 infected VL patients. Finally, expensive and logistically challenging cold-chain storage and transport
461 is not required for the DBS samples, simplifying the conduct of PK studies in remote areas where
462 leishmaniasis is endemic and only limited clinical and laboratory infrastructure is available.

463

464 **TRANSPARENCY DECLARATIONS**

465 None to declare.

466

467 **ACKNOWLEDGEMENTS**

468 We would like to acknowledge all VL patients in Gondar for their willingness to participate in this
469 study. This research was conducted in collaboration with the Drugs for Neglected Diseases initiative.

470 The research leading to these results has received funding from the European Union Seventh
471 Framework Programme, Medicor Foundation (Liechtenstein), and Federal Ministry of Education and
472 Research (BMBF) through KfW and part of the EDCTP2 programme supported by the European
473 Union (Germany).

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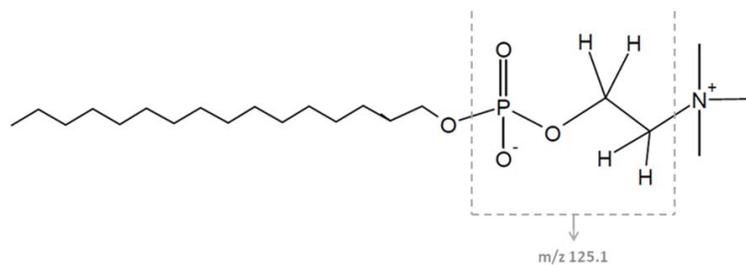
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565 FIGURES AND TABLES

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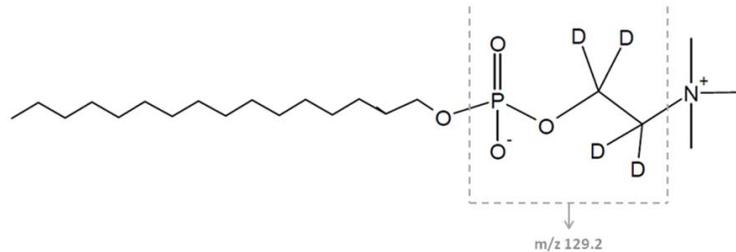


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(I) Miltefosine



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(II) Miltefosine-D4

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Figure 1: Structural formulas of miltefosine and the internal standard miltefosine-D4, indicating the m/z fragments

Parameter		
Run duration	5.0 min	
Ionspray voltage	+4.5 kV	
Turbo gas temperature	400 °C	
Turbo gas flow	7 L/min	
Nebuliser gas	11 psi	
Curtain gas	9 psi	
Collision gas	6 psi	
	Miltefosine	Miltefosine-D4
Parent mass	408.5 <i>m/z</i>	412.6 <i>m/z</i>
Product mass	125.1 <i>m/z</i>	129.2 <i>m/z</i>
Dwell time	400 ms	400 ms
Collision energy	43 V	43 V
Collision exit potential	22 V	22 V
Declustering potential	71V	71 V
Focussing potential	290 V	290 V
Entrance potential	12V	12 V
Typical retention time	2.6 min	2.6 min

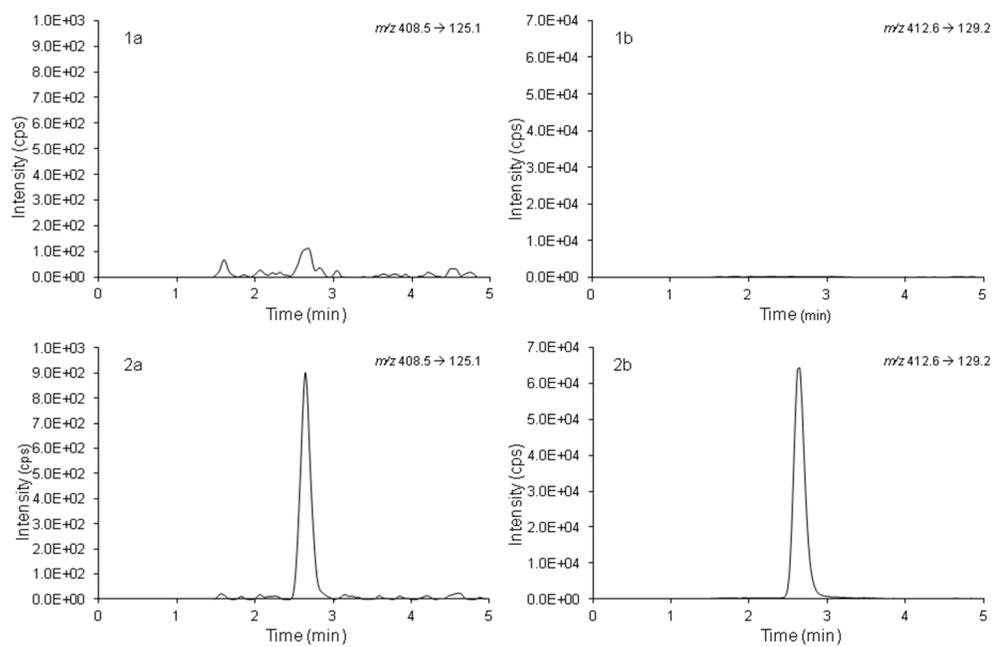
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Table 1: MS operating parameters for the determination of miltefosine in dried blood spots

Run	Nominal			
	conc. (ng/ml)	Bias (%)	CV (%)	# replicates
1	10.1	-9.0	9.1	5
2	10.1	8.1	7.1	5
3	10.1	4.8	19.1	5
Inter-assay	10.1	1.3	14.3	15
1	24.2	1.2	5.8	5
2	24.2	6.3	4.6	5
3	24.2	1.1	6.5	5
Inter-assay	24.2	2.8	5.8	15
1	302	2.0	1.3	5
2	302	-2.5	3.6	5
3	302	11.2	6.2	5
Inter-assay	302	3.6	7.0	15
1	1610	-0.5	5.4	5
2	1610	0.9	5.2	5
3	1610	5.5	3.5	5
Inter-assay	1610	1.9	5.1	15

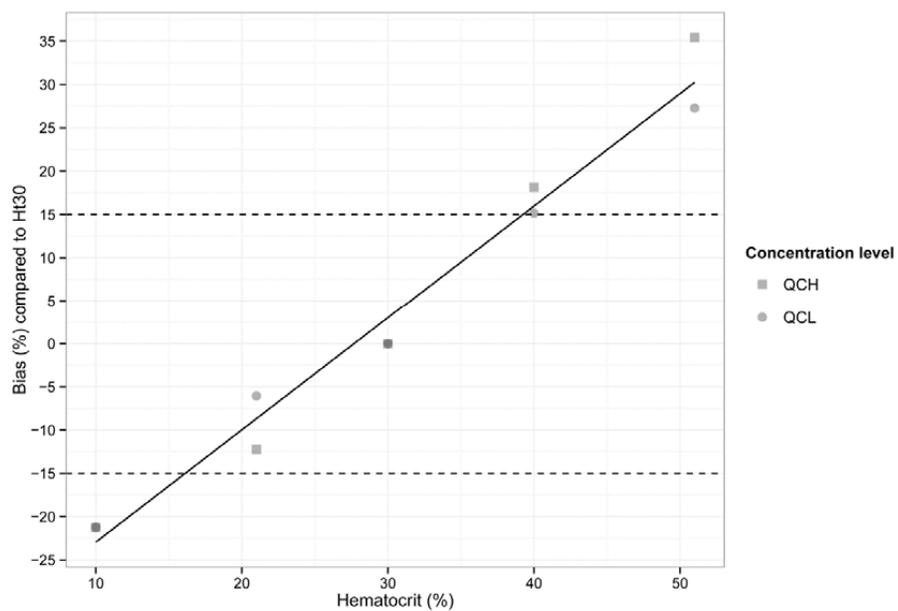
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Table 2: Intra-assay and inter-assay accuracy (bias %) and precision (CV%) determined by analyzing quality control samples at four concentrations: LLOQ (10.1 ng/mL), QCL (24.2 ng/mL), QCM (302 ng/mL) and QCH (1610 ng/mL).



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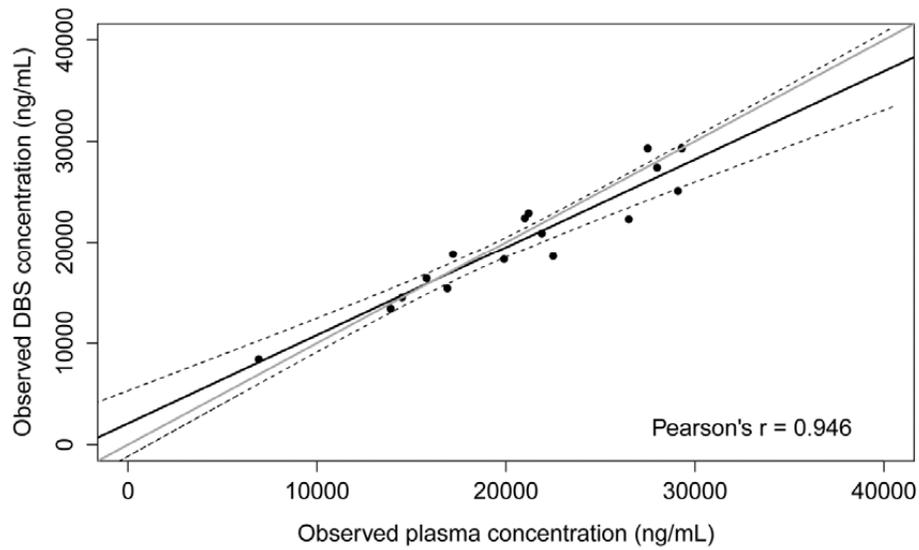
580 Figure 2: Representative LC-MS/MS ion chromatograms of miltefosine (1a) and internal standard miltefosine-D4(1b) quantified in a double blank DBS and of miltefosine-
581 D4(2b) in an LLOQ sample (10.1 ng/mL)



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584 Figure 3: Effect of hematocrit on accuracy of miltefosine quantification at two concentration levels (QCL: 24 ng/mL, QCH:
585 1600 ng/mL) depicted as bias (%) in area ratio compared to Ht30 WB (used for calibration standards). Linear regression line
586 described as $\text{BiasHt} = (0.013 \times \text{Ht} - 0.359) \times 100\%$. Dotted lines indicate the 15% bias.

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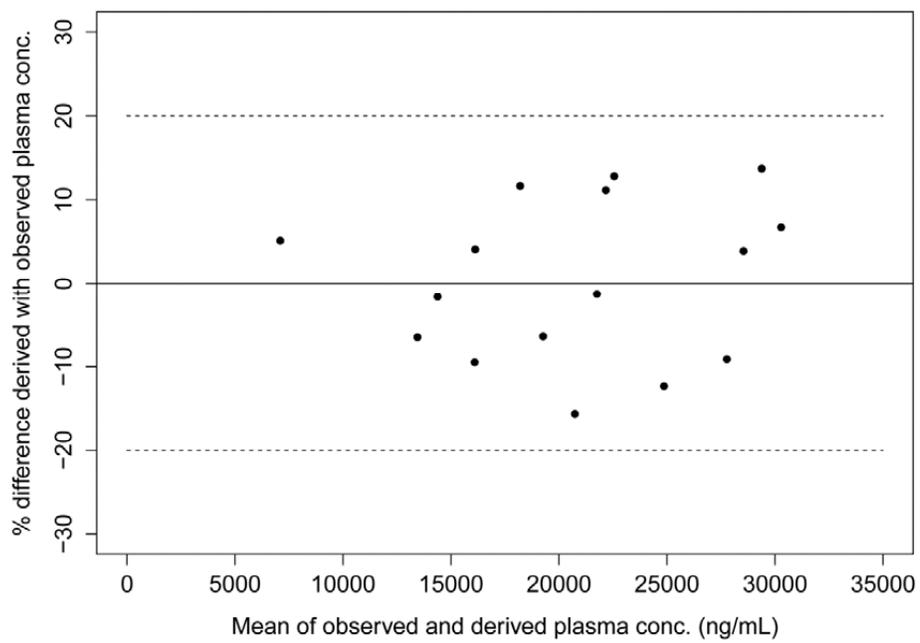
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Figure 4: Observed miltefosine dried blood spot concentrations plotted against their corresponding observed plasma concentration in paired patient samples ($n=16$). The weighted Deming fit ($2091 + 0.87x$, Pearson's $r = 0.946$) is indicated with a solid black line and the dashed black lines show the 95% confidence interval of the fit. The solid grey line indicates the line of true identity.

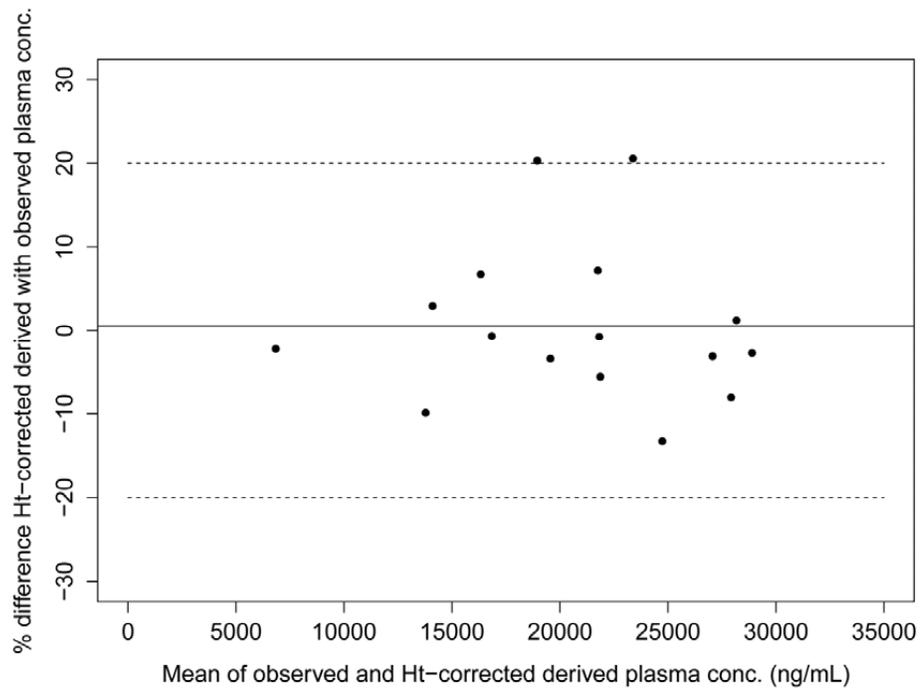


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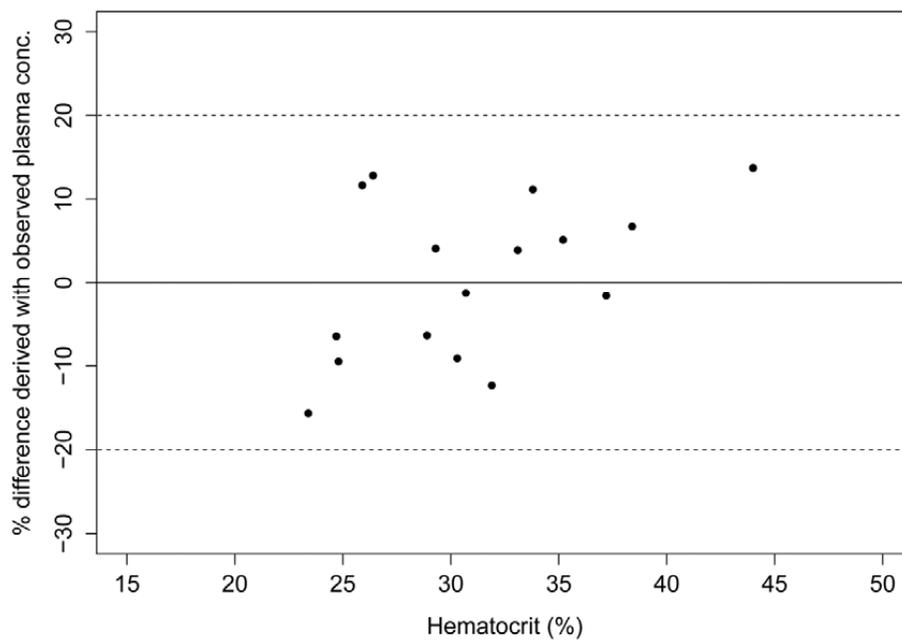
598 Figure 5: Bland-Altman difference plot depicting the difference between the derived plasma concentration using the Deming
599 regression equation based on the observed DBS concentration, and the observed plasma concentration. The dashed lines
600 represent the 20% bias compared to the observed plasma concentration.

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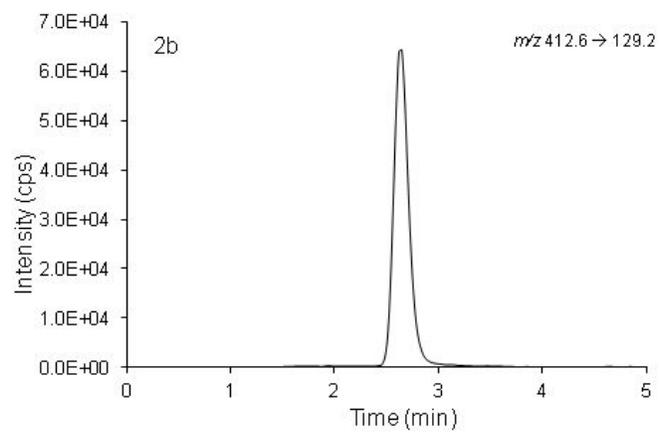
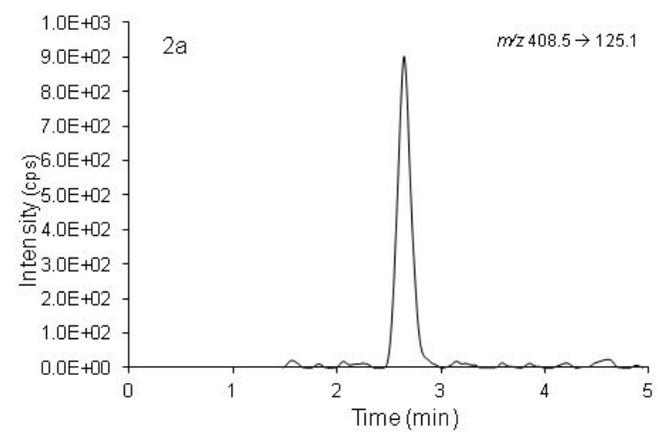
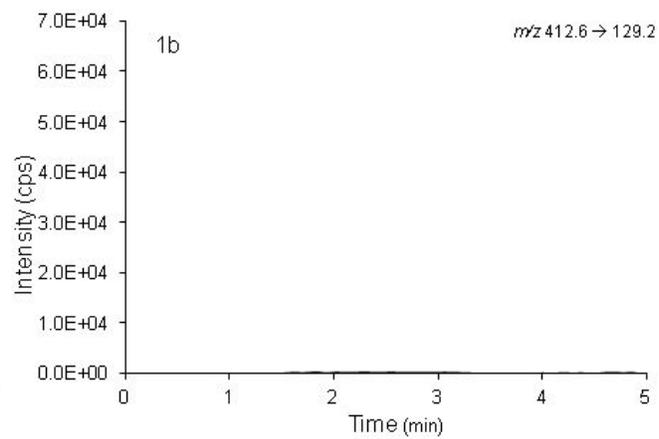
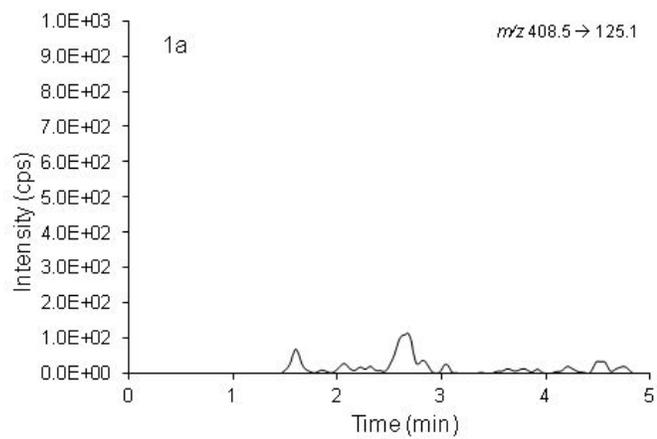
606 *Figure 6: Bland-Altman difference plot depicting the difference between the Ht-corrected derived plasma concentration*
607 *using the Deming regression equation based on the Ht-corrected DBS concentration, and the observed plasma*
608 *concentration. The bold line is the mean of the observed difference. The dashed lines represent the 20% bias compared to the*
609 *observed plasma concentration.*

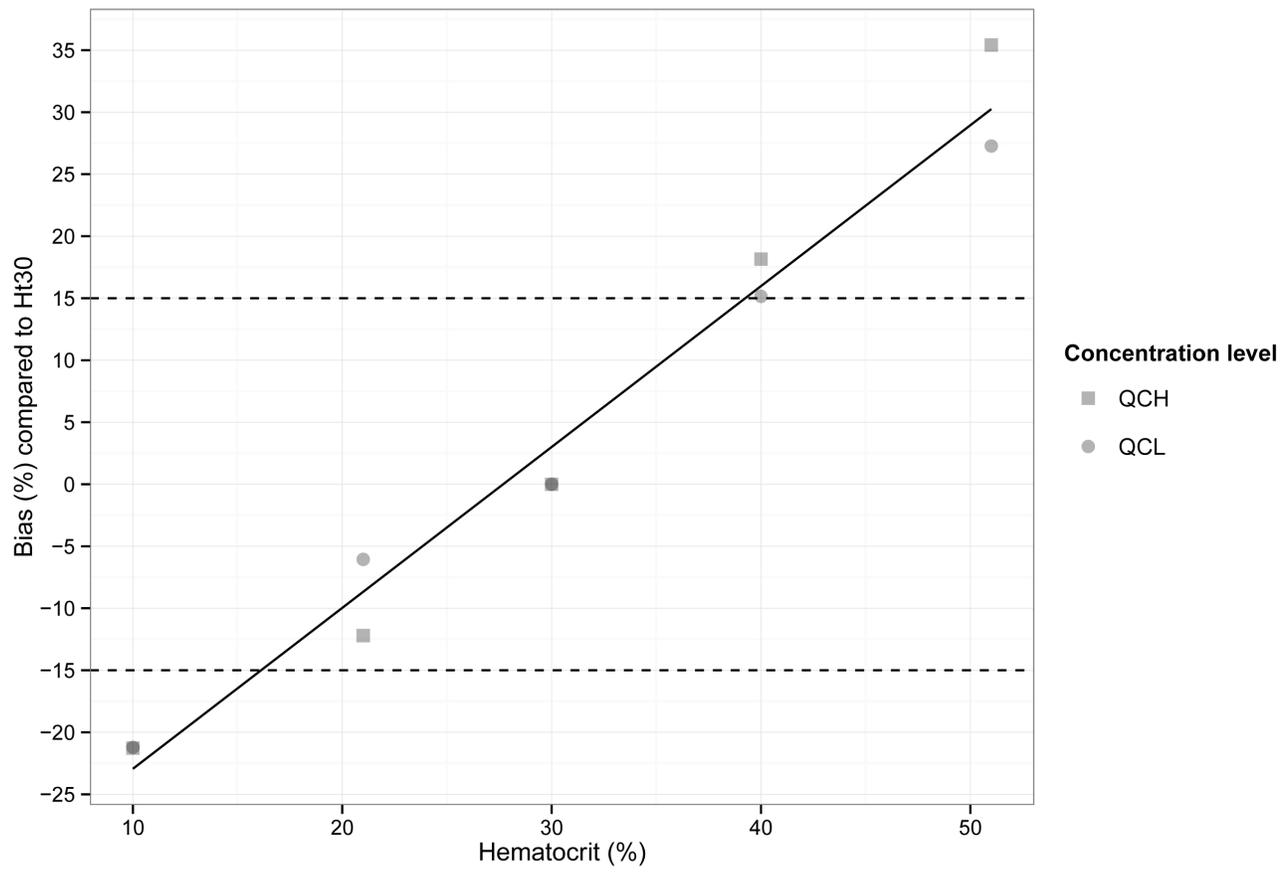


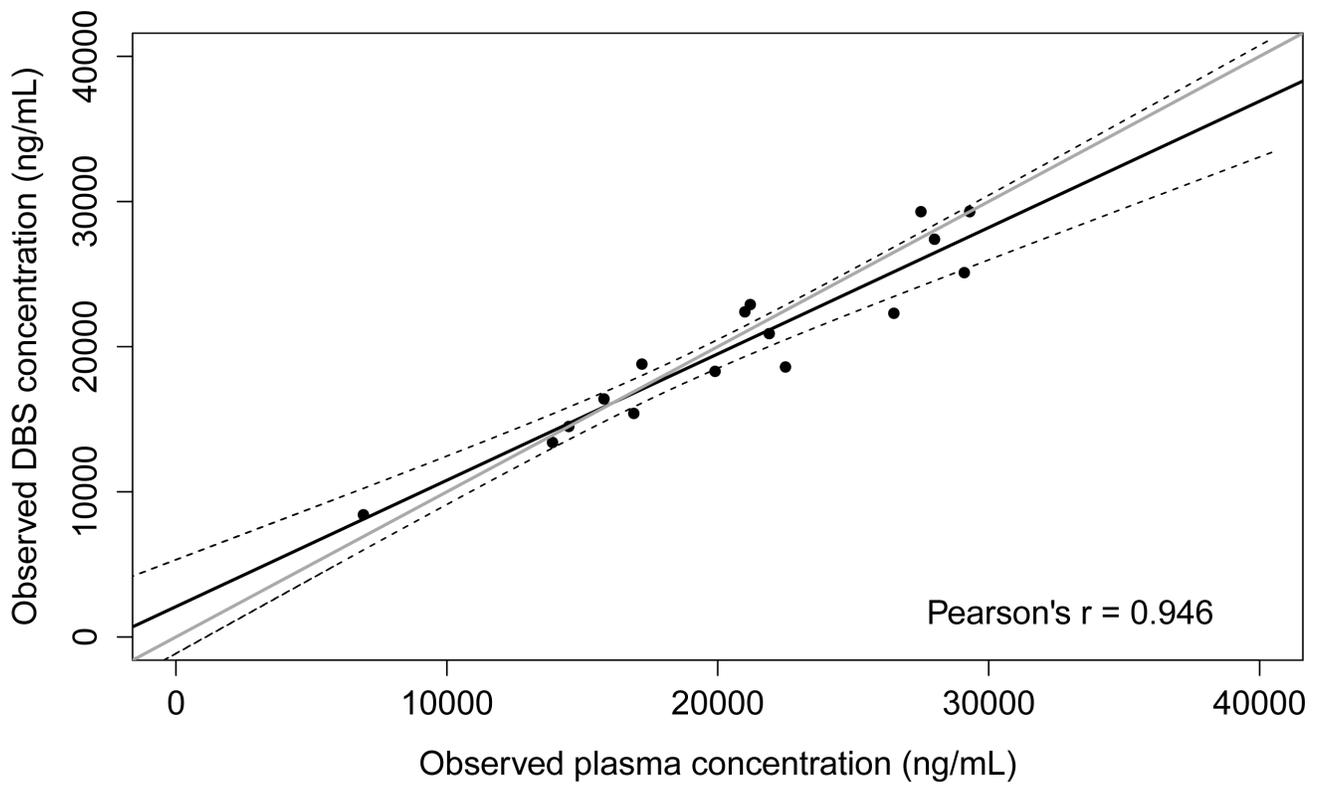
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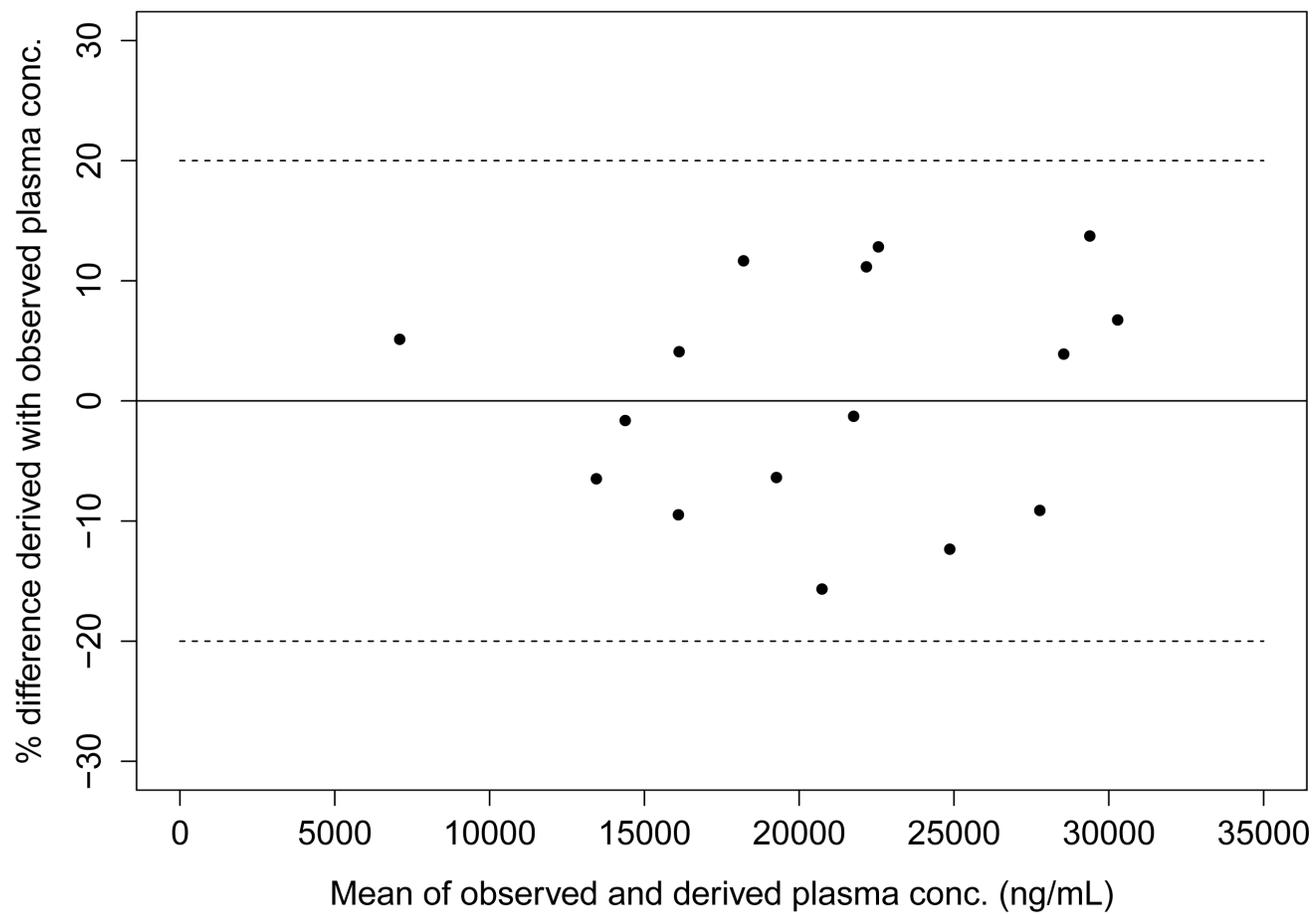
611 Figure 7: Difference between the derived plasma concentration using the Deming regression equation based on the observed
612 DBS concentration (without Ht-correction), and the observed plasma concentration versus the hematocrit value. The dashed
613 lines represent the 20% bias compared to observed plasma concentration.











% difference Ht-corrected derived with observed plasma conc.

